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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Moriharu Sakai et al.

Application No.: 10/517,062

Filing Date: December 3, 2004

Title: EMERGENCY BRAKE SYSTEM



Group Art Unit: 3683

Examiner: THOMAS J WILLIAMS

Confirmation No.: 8729

THIRD
INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTERCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a THIRD Information Disclosure Statement (IDS) and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☒ The fee of \$ 180 as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$ 180 as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge _____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of _____ is enclosed for the fee due.
- ☒ Charge \$ 180.00 to credit card for the fee due. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

10/25/2006 GFREY1 00000127 10517062

01 FC:1806

180.00 DP

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date October 20, 2006

By:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

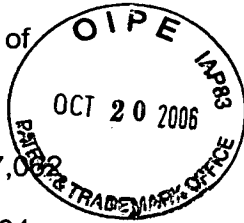
In re Patent Application of,

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Application No.: 10/517,000

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THIRD INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Each of the following seven (7) documents were cited in a German Patent Office Examination Report dated November 16, 2005 or Chinese Patent Office Examination Report dated April 7, 2006 in connection with the respective corresponding foreign application. A copy of the German and Chinese Examination Reports is enclosed.

German Application Publication No. 197 38 611 A1. U.S. Patent No. 6,084,508 corresponds to this document.

German Application Publication No. 198 23 228 A1

German Application Publication No. 199 33 183 A1

Chinese Application Publication No. 2464589 Y

Japan Application Publication No. 10-329697 A

U.S. Patent No. 6,447,009 B1

U. S. Application Publication No. 2002/066818 A1

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

A fee of \$ 180 as set forth in 37 C.F.R. § 1.17(p) is enclosed.



To assist the Examiner in entering the foregoing information into the record, the above-noted documents are cited on the attached PTO-1449 and copies of the documents are enclosed.

The Examiner is respectfully requested to consider the foregoing information and to acknowledge such consideration by initialing, signing and returning the enclosed additional copy of Form PTO-1449.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: October 20, 2006

By: *Matthew L. Schneider* #43,877
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Alexandria, VA 22313-1404
703 836 6620

OCT 20 2006

Complete if Known

THIRD
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/517,062
Filing Date	December 3, 2004
First Named Inventor	Moriharu Sakai et al.
Examiner Name	THOMAS J WILLIAMS
Attorney Docket No.	1033498-000044

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	2002/0066818	A1	Tanji et al.	06-06-2002
	6,084,508		Mai et al.	07-04-2000
	6,447,009	B1	Robert E. McMillan	09-10-2002

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec
	10-329697	A	Japan	12-15-1998				X		X	
	2464589	Y	China	12-12-2001				X		X	
	197 38 611	A1	Germany	01-21-2006				X		X	
	198 23 228	A1	Germany	12-09-1999				X		X	
	199 33 183	A1	Germany	01-18-2001				X		X	

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	German Patent Office. German Examination Report dated November 16, 2005. German Application No. 103 93 012.4-21. Applicant: Advics Co., Ltd. German Language Translation. 4 pages.
	Chinese Patent Office. Chinese Examination Report dated April 7, 2006. Chinese Application No. 038179555. Chinese Language Translation. 4 pages.

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.